

RQ-2

Kent A. Berger, CPA, Treasurer Friends of Mary Landrieu Inc. 650 Poydras Street New Orleans, LA 70130

Identification Number:

C00325126

DEC 0 3 2003

Reference:

April Quarterly Report (1/1/02-3/31/02)

Dear Mr. Bergor:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report discloses one or more contributions which appear to exceed the limits set forth in the Act (see attached). You should examine all of your contributions to check for additional excessive contributions. The Committee's procedures for processing contributions should also be reviewed.

An individual or a political committee other than a qualified multicandidate committee may not make a contribution to a candidate for federal office in excess of \$1,000 per election. A qualified multicandidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money of anything of value made by any person for the purpose of influencing any election for federal office. (2 U.S.C. §441a(a) and (f); 11 CFR §110.1(b), (e) and (k))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the ciarifying information.

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If any contribution you received exceeds the limits, you may have to refund the excessive amount. The funds can be retained within sixty (60) days of receipt if, (1) the excessive amount was properly reattributed to another person, such as a joint account holder, by obtaining signed written authorizations from each person making the contribution pursuant to 11 CFR 110.1(k)(3), and (2) the treasurer informs the person making the contribution that he or she may request the return of the excessive person of the contribution if it is not intended to be a joint contribution. Any request from a donor for a refund must be honored.

Alternatively, the funds can be retained if within sixty (60) days of receipt, the excessive amount was properly designated for a different election, by obtaining signed written authorization from the contributor(s) pursuant to 11 CFR §110.1(b)(5) or §110.2(b)(5). Any request from a donor for a refund must be honored.

If the foregoing conditions for reattributions or redesignations were not met within 60 days of receipt, the excessive amount must be refunded. See CFR §103.3(b)(1).

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for any refund and/or any photocopies of letters reattributing or redesignating the contributions in question. Refunds are reported on Line 20 of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memoentries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR §104.8(d)(2), (3) and (4))

The acceptance of excessive contributions is a serious problem. Again, the committee's procedures for processing contributions should be examined and corrected in order to avoid this problem. Although the Commission may take further legal action, prompt action by you to refund or seek redesignation and/or reattribution of the excessive amount will be taken into consideration.

-Commission Regulations require the continuous reporting of all outstanding debts. This report indicates an omission of debts itemized on your previous report(s). (11 CFR §§104.3(d) and 104.11) Please file an amendment to your report to disclose the current status of these omitted debts:

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First USA Bank, NA	\$1,342.06
Hooper, Owen	\$217.80
Louisiana Legislative Black Caucus	\$1,000
Louisiana 1999	\$1,000
NGP Software	<b>\$60</b> 0
Plante & Associates	\$611.44

-On Schedule B of your report, you have itemized disbursements for which you have failed to include the address. Please amend your report to include the missing information. (11 CFR §104.3(b)(4))

-Commission Regulations define the term "purpose" to mean a brief statement or description of why a disbursement was made. Examples are "dinner expense", "media", "salary", "polling", "travel", "party fees", "phone banks", "travel expenses", "travel expense reimbursement" and "catering costs". Examples of election day and voter registration activity include "exit polling", "door-to-door get out the vote", "get out the vote phone calls" and "driving voters to the polls". Unacceptable descriptions, which require additional clarification, include but are not limited to "commission", "contract labor", "retainer", "advance", "consulting", "invoice", "support", "expense "election day expense", "expenses", "miscellaneous", "professional reimbursement". "get-out-the-vote" and "voter registration". (11 CFR §104.3(b)(4)) Please amend Schedule B of your report to correct the descriptions which do not meet the requirements of the Regulations.

-Schedule B of your report discloses reimbursements to individuals for transactions other than travel, meals and lodging. Please be advised that when itemizing reimbursements to individuals for goods or services, if the payment to the original vendor aggregates in excess of \$200 in an election cycle, a memo entry including the name and address of the original vendor, as well as the date, amount and purpose of the original purchase must be provided. If itemization is not necessary, you must indicate so in an amendment to this report. Please correct your report to include the missing information. (11 CFR §104.9)

-Schedule A of your report discloses contributions from political committees but fails to include the full and/or recognizable name. Reporting only the name of the connected organization, abbreviating the name of the committee so it is unrecognizable or using an indistinguishable acronym is inadequate. (11 CFR §102.14(c) and 104.3(a)(4)(ii)). A listing of the full FEC-registered committee names, FEC Committee ID number

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and approved acronyms can be found on the FEC web site (www.fec.gov) or can be obtained by contacting the FEC Public Disclosure Division. Please amend your report to include the missing information.

-Schedule D of your report fails to supply certain information. Commission Regulations require the full name and address of each creditor, the outstanding beginning and ending balances, any amount incurred during this reporting period, any payment made during this reporting period and the nature or purpose of each debt. All debts must be reported until extinguished or settled. Please amend your report to include the name of creditor. (11 CFR §104.11)

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Senate Public Records Office within fifteen (15) days of the date of this letter. Please contact the Senate Public Records Office at (202) 224-0322 for instructions on how and where to file an amendment. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,

Leah S. Palmer

Senior Campaign Finance Analyst

Reports Analysis Division

# EXCESSIVE CONTRIBUTIONS ON THE 2002 APRIL QUARTERLY REPORT

P = Primary Election G = General Election

CONT	RIBUTOR NAME	DATE	AMOUNT	
DEVILLE	RENWICK P	. G2/01/2G0D	\$1,000.00	ь
DEVILLE	RENWICK P	02/08/2001	\$500.00	G
DEVILLE	RENWICK P	03/21/2002	\$500,00	Р
HERMAN	RUSS M ESQ	08/23/2000	\$500.00	P
HERMAN	RUSS M	02/06/2001	\$1,500.00	Р
HERMAN	RUSS M	07/31/2001	-\$1,000.00	₽
HERMAN	RUSSIM	08/01/2001	\$250.00	P
HERMAN	RUSS M	01/30/2002	\$1,000.00	G
HOFFMAN	DONALD A	11/29/1996	\$250.00	G.
HOFFMAN	DONALD A	11/17/2000	\$200.00	p
HOFFMAN	DONALD A	01/05/2002	\$1,000.00	p
HOFFMAN	PAULA	11/09/2000	\$200.00	p
HOFEMAN	PAULA	05/11/2001	\$500.00	12
HOFFMAN	PAULA	05/21/2001	\$300.00	<u> </u>
HOFFMAN	PAULA	05/21/2001	\$200.00	. G
HOFFWAN	PAULA	00/07/2002	\$500.00	<u> </u>
KOURY	CONNIE A	12/07/1998	\$250,00	P
KOURY	CONNIE A	01/02/2002	\$1,000.00	. P
LITTLEPAGE	BEN M	05/25/2000	\$1,000.00	F
LITTLEPAGE	BEN M	03/12/2002	\$500.00	P
METCALF	J 1000	10/04/2001	\$250.00	P
METCALF	<sup>i</sup> J TOOO	, 01/25/2002	\$1,000.00	P
OGILVY	AVIS R	01/23/2002	<u>: \$500.00 j</u>	P
OGILVY	AVIS R	: 06/03/2002	\$1,000.00	<u> </u>
ORECK	JULIE WISE	05/23/2001	\$500.00	P
ORECK	JULIE WISE	08/09/2001	\$250.00	P .
ORECK	JULIE MISE	03/26/2002	\$500.00	P
SAGINAW CHIPPE	WAIND	03/13/2002	\$2,000.00	. ۴
SAGINAW CHIPPE	WAIND	03/13/2002	\$2,000.00	G
SMITH	JOE D JR	05/22/2000	\$1,000.00	Р
SMITH	JOE D JR	03/01/2002	\$1,000.00	<u>P</u>
SMITH	JOE DUR	03/04/2002	\$1,000.00	<u>P</u> _
STEINER	SYLVIAL	08/17/2001	\$1,000.00	<u>P</u>
STEINER	SYLVIAL	03/13/2002	\$1,000.00	P

## EXCESSIVE CONTRIBUTIONS ON THE 2002 APRIL QUARTERLY REPORT

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CONTRIB	UTOR NAME	DATE	AMOUNT	
STERN	WARREN L	12/12/2001	\$250.00	P
STERN	WARREN L	03/11/2002	\$1,000,00	łs
TALBOT	BYRON	12/21/1999	\$250.00	P
TALBOT	BYRON	04/13/2000	\$1,000.90	þ
TALBOT	BYRON	03/30/2002	\$500.00	þ
WEIR	DAVID L	11/04/2000	\$1,000.00	P
WEIR	IDAVID L	03/28/2002	\$1,000.00	. P.
WINTERS	НН	11/18/1997	\$500.00	Ė
WINTERS	;H H	12/27/2001	<b>\$</b> 50 <b>0</b> .00	P
WINTERS	HARRY H	03/27/2002	\$1,000.00	P
	<u>i</u> eral	12/09/1997	\$1,000.00	P
Citigroup Inc. PAC - Fede		05/11/2000	\$1,250.00	₽
Citigroup Inc. PAC - Fede	•	05/31/2000	\$1,250,00	P
Citigroup inc. PAC - Fede		04/27/2001	\$1,000.00	_ <u>1</u> 2
Citigroup Inc. PAC - Feds		06/19/2001	\$500,00	<u></u>
Citigroup Inc. PAC - Fede		06/19/2001	\$500.06	_ 6
Oltigroup Inc. PAC - Fede		63/28/2002	\$1,000.00	<b>Þ</b>
SRC Communications Inc	a. Employee Federal PAC	01/07/2000	\$2,000.00	— Р
	c. Employee Federal PAC	05/12/2000	\$2,000.90	P
	c. Employee Federal PAC	12/12/2000	\$1,000.00	P
	c. Employee Federal PAC	05/30/2001	\$1,000.00	G
	c. Employse Federal PAC	11/28/2001	\$1,000.00	G
·	c. Employee Federal PAC	03/21/2002	\$1,000,00	F
Ironworkera Political Acti	on League ;	08/16/2001	\$2,500.00	P
Iranworkers Political Acti	on League ;	03/01/2002	\$2,500.00	P
Ironworkers Political Acti	on League	03/25/2002	\$5,000.00	g
Textron Inc. Political Acti	ion Committee	05/09/2000	\$2,500. <b>0</b> 0	ş>
Textron Inc. Politica: Act	i	08/08/2001	\$2,500.00	P
Textron Inc. Political Act	ion Commi≝ee	03/05/2002	\$5,000.00	_P

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CONTRIBUTOR NAME	DATE	TAUOMA	
Lyondell Chemical Company PAC	08/02/2000	\$1,000.00	P
Lyondell Chemical Company PAC	12/20/2000	\$500.00	P
Lyondell Chemical Company PAC	63/21/2001	\$1,000.00	Р
Lyondeli Chemical Company PAC	Q5/14/200†	\$2,900.00	<b>.</b>
Lyondeli Chemical Company PAC	12/26/2001	\$1,500.00	G
Lyondeli Chernical Company PAC	12/26/2001	\$500.00	p
Lyondeli Chemical Company PAC	03/21/2002	\$1.000.00	p